

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Mark H. SHIPTON (deceased)

10/540536  
JC17 Rec'd PCT/PTO 24 JUN 2005

Application No.: New U.S. National Stage of PCT/GB03/05152

Filed: June 24, 2005

Docket No.: 124324

For: RARE EARTH-TRANSITION METAL ALLOY ARTICLES

INFORMATION DISCLOSURE STATEMENT

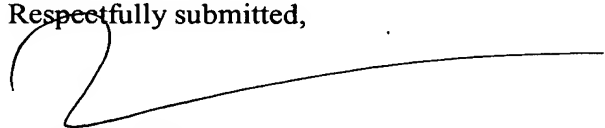
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Relevance of reference 1-3, and 6 is discussed in the present specification.
- ☒ 3. References 4-6 were cited in the International Search Report. An English language version of the International Search Report is attached for the Examiner's information.
- ☒ 4. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- ☒ 5. An English language Abstract of non-English language reference 4 is attached hereto.
- ☒ 6. A computer-generated English language translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and is attached, but has not been reviewed for accuracy. See Reference 4.

Respectfully submitted,

  
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Date: June 24, 2005

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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 124324		APPLICATION NO. New U.S. Patent Application  <b>10/540536</b>	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT Mark H. SHIPTON (deceased)			
				FILING DATE June 24, 2005			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
	1.	6,451,132 B1	09/17/2002	Marlin S. WALMER et al.			
	2.	5,830,815	11/03/1998	Arun S. WAGH et al.			
	3.	6,133,498	10/17/2000	Dileep SINGH et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	4.	JP-A-2001-295091 w/ abst. & trans.	10/26/2001	JAPAN			
	5.	EP 1 032 000 A1	08/30/2000	EUROPEAN PATENT OFFICE			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	6.	Christina H. CHEN et al.; "Surface Reaction and Sm Depletion at 550 °C for High Temperature Sm-TM Magnets"; <i>IEEE Transactions on Magnetics</i> ; Vol. 37, No. 4; July 2001; pp. 2531-2533.					
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: June 24, 2005